Docket No.

246883US

ATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Johann LEBAN, et al.

SERIAL NO: 10/736,711

FILED:

December 17, 2003

FOR:

COMPOUNDS AS ANTI-INFLAMMATORY, IMMUNOMODULATORY AND ANTI-PROLIFERATORY

GAU:

1616

AGENTS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references cited in the attached German Office Action listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the claims of the pending application(s) is attached.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Norman F. Oblon

Frederick D. Vastine Registration No. 27,013

Customer Number

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

Form PTO 1449 U.S. DEPARTMENT OF COMMERCE (Modified)			ATTY DOCKET NO. SERIAL NO.			10.			
(Modified)	THAT END WADEMARK OFFICE			246883US0		10/736,711			
		APPLICANT							
LIST OF REFERENCES CITED BY APPLICANT				Johann LEBAN, et al.					
•				FILING DATE		GROUP			
				December 17, 2003					
U.S. PATENT DOCUMENTS									
EXAMINER		DOCUMENT			CLASS	SUB	FILING DATE		
INITIAL		NUMBER	DATE	NAME	CLASS	CLASS	IF APPROPRIATE		
	AA	3,465,001	09/02/1969	W. A. BOLHOFER, et al.					
	AB	5,262,537	11/16/1993	B-S. HUANG, et al.					
	AC	4,661,630	04/28/1987	Y. HARIGAYA, et al.					
	AD	5,886,033	03/23/1999	W. SCHWAB, et al.					
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		DOCUMENT NUMBER	DATE	COUNTRY		TRANSLATION YES NO			
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	AP	2 158 440	11/13/1985	GREAT BRITAIN					
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	AS	195 39 638	04/30/1997	GERMANY (with corr. US 5,886,033)			Х		
	AT								
	AU								
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,	<u> </u>	OTHER RI	FERENCES (Including Author, Title, Date, Pertinent	Pages, e	etc.)			
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)									
	AW	E. KITA, et al., Polish Journal of Chemistry, vol. 53, no. 6, pages 1211-1219, "PROTOLYTIC EQUILIBRIUMS OF 4-PYRIDOXYL-4, 5, 6, 7-TETRAHYDROPYRIDO-[3, 4-C] IMIDAZOLE AND ITS DERIVATIVES", 1979 (submitting Chemical Abstracts only, AN 1979: 592609)							
	АХ								
	AY								
	AZ				Add	litional Refe	erences sheet(s) attached		
Examiner Date Considered									
*Examiner: Ir conformance	*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

LIST OF RELATED CASES

Docket Number	Serial or Patent Number	Filing or <u>Issue Date</u>	Inventor/ <u>Applicant</u>
246883US-0*	10/736,711	12/17/03	LEBAN, et al.
242261US-0	10/736,742	12/17/03	LEBAN, et al.
242266US0	10/736,739	12/17/03	LEBAN, et al.

^{*}Present Application; listed for information NFO/aaf/amr